

Capacity Building for SDG Monitoring

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How to use your Response Cards:



Press the button with the letter that corresponds with your answer

All answers are anonymous

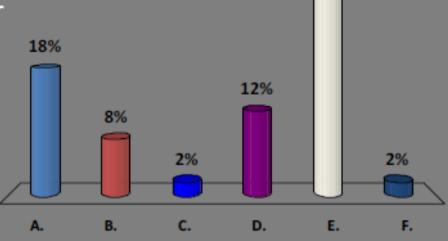
You can click as many times as you want to change your answer, but it is only the *last* answer that will count

Please leave your clicker on the table after the session is done

This is you in the first day.

My expectation:

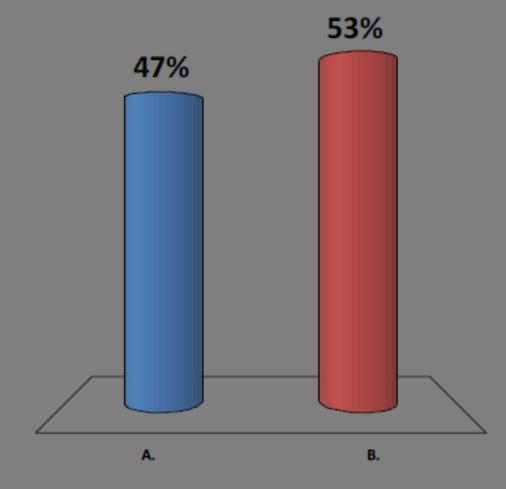
- A. Acquire in-depth knowledge of the SDG11 and its indicators for further monitoring
- B. Getting to know more about my colleagues' work on SDGs
- C. Learn about the challenges facing organizations working on SDGs
- D. Strengthen my knowledge and skills in designing and using indicators for monitoring the SDGs
- E. Discuss, exchange ideas, and agree on means of implementation of the monitoring and reporting based on agreed indicators
- F. None of the above



57%

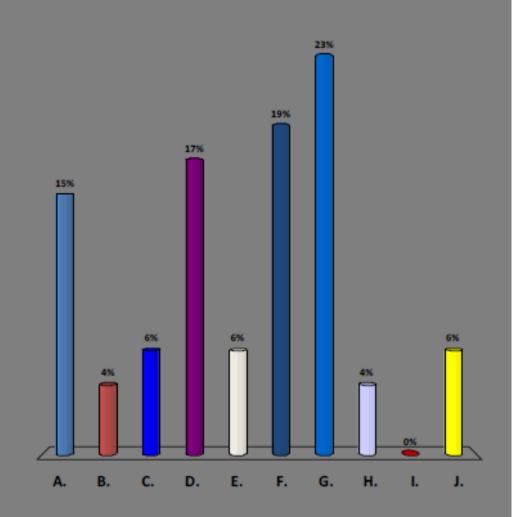
I am:

- A. Woman
- B. Man



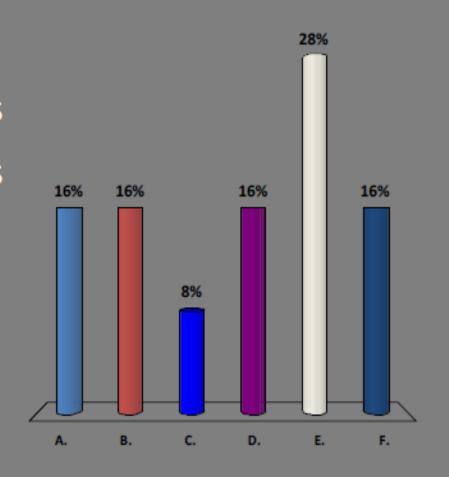
I am:

- A. Urban Planner
- B. Architect
- C. Engineer
- D. Economist
- E. Geographer
- F. Social Scientist
- G. Statistician
- H. Business & Administrator
- l. Lawyer
- J. Other



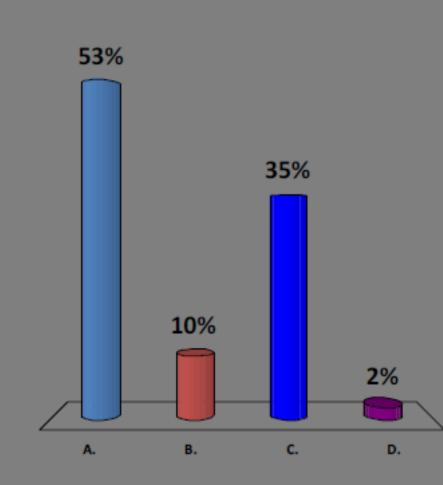
How many years of experience do you have working in the field of urban development?

- A. Less than 1 year
- B. Between 1 and 3 years
- C. Between 3 and 5 years
- D. Between 5 and 10 years
- E. More than 10 years
- F. Not applicable



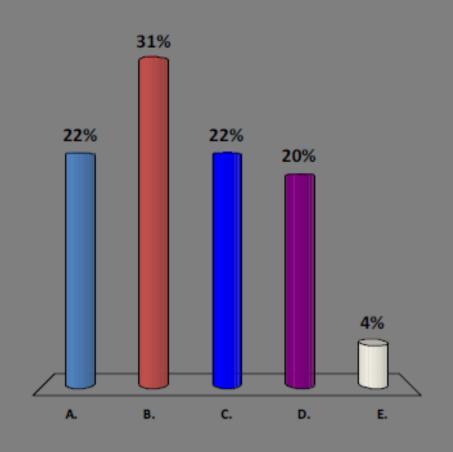
Choose what applies to you:

- A. I have knowledge and practical experience with implementing partnerships
- B. I have knowledge about partnerships
- C. I am somewhat familiar with partnerships but do not have experience
- D. I have no experience with partnerships and do not know much about it



Choose what applies to you:

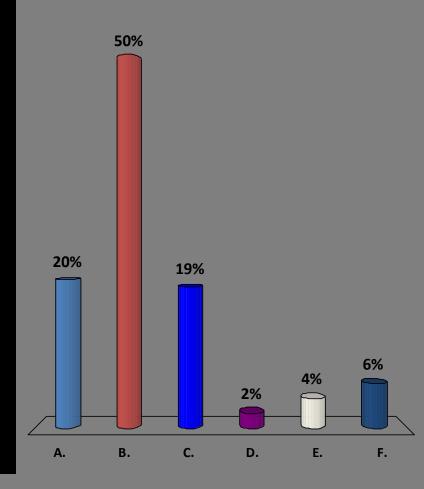
- A. I have knowledge and practical experience with training and capacity building
- B. I have knowlege, experience and have implemented training and capacity building programmes
- C. I have somewhat knowledge and some experience with training and capacity building
- I am familiar with training and capacity building
- I am not familiar with training and capacity building



This is you today.

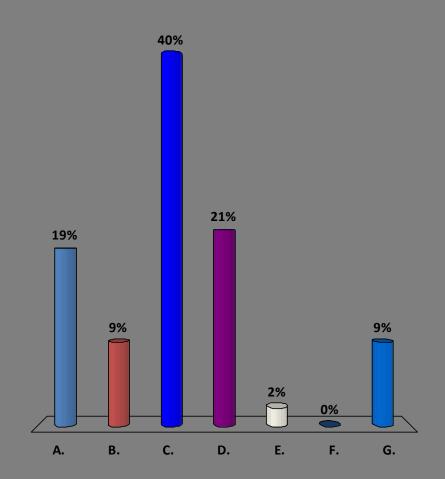
This is how I feel today:

- A. I feel great and motivated.
- B. I feel good and ready to continue our learning about the SDGs
- C. I feel ok and motivated but am worried about the work I left behind.
- D. I feel very worried with the work I left behind.
- E. I miss my partner and can't see the moment to go back and get a hug
- F. It is my own business how I feel.



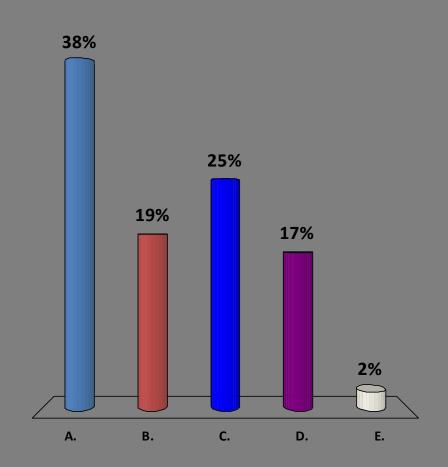
I come from ...

- A. Americas
- B. Asia
- C. Africa
- D. Europe
- E. Oceania
- F. Pacific States
- G. Arab States



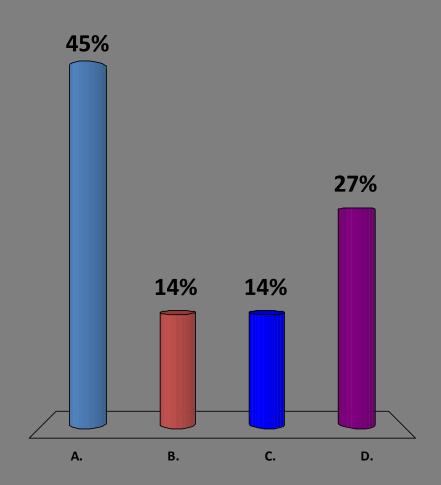
I am...

- A. Younger than 35 yrs
- B. Older than 35 and younger than 45 yrs
- C. Older than 45 and younger than 55
- D. Older than 55 yrs
- E. Older than 60 yrs



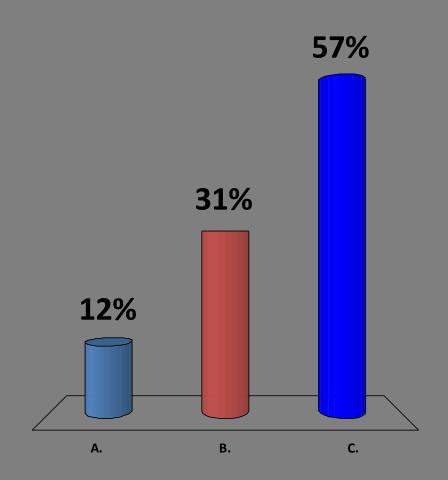
I consider myself a person who is ...

- A. Rational
- B. Emotional
- C. Methodical
- D. Structured



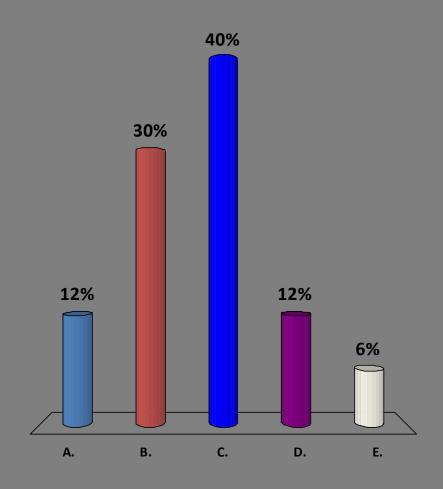
I consider myself a ...

- A. Scholar
- B. Researcher
- C. Practitioner



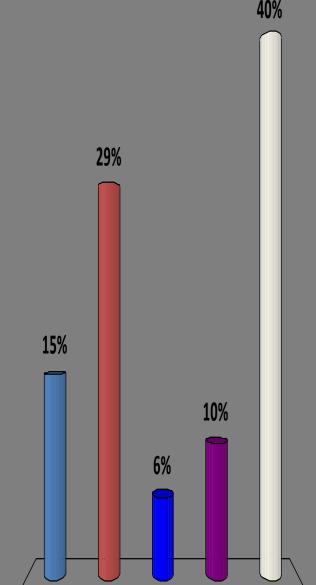
Choose what best applies to you...

- A. I have knowledge and practical experience with MONITORING public policies
- B. I have knowlege, experience and have implementedMONITORING policies
- C. I have somewhat knowledge and some experience with MONITORING
- D. I am familiar with MONITORING
- E. I am not familiar with MONITORING



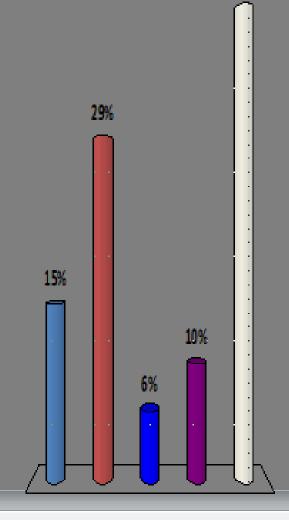
How best to define Monitoring

- A. Activity of continuous examination of policy implementation
- B. Activity tracking compliance against agreed standards and goals set by policies
- C. Procedure to produce critical information about the performance of public policy implementation
- D. Activity to produce factual information and knowledge about policy implementation
- E. Procedure that produces data and knowledge to support the analysis and reporting on the performance of public policies



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Monitoring: what is it?

- Continuous examination of progress achieved during the implementation
- To track compliance with the plan/goal
- Provide for decision makers to take necessary decisions to improve performance.

Key elements:

- continuous examination of implementation progress
- tracking compliance against targets / planned objectives
- generating data and information on performance to enable
- corrective measures to be taken

Monitoring in Policy Analysis: what is it?

- It is the policy-analytic procedure used to produce information about the causes and consequences of public policies.
- 2. Since it permits analysts to describe relationships between policy-program operations and their outcomes, is the primary source of knowledge about policy implementation.
- 3. It is primarily concerned about with establishing factual premises about public policy.

Monitoring in Policy Analysis

Monitoring performs at least four major functions:

- 1. Compliance:
- 2. Auditing
- 3. Accounting
- 4. Explanation

Monitoring in Policy Analysis

Monitoring performs at least four major functions:

- 1. <u>Compliance:</u> monitoring helps determine whether the actions of program administrators, staff, and other stakeholders are in compliance with standards and procedures imposed by legislatures, regulatory agencies, and professional bodies.
- 2. <u>Auditing:</u> monitoring helps determine whether resources and services intended for certain target groups and beneficiaries have actually reached them.

Monitoring in Policy Analysis

Monitoring performs at least four major functions:

- 3. Accounting: monitoring produces information that is helpful in accounting for social and economic changes that follow the implementation of broad sets of public policies and programs over time.
- 4. **Explanation:** monitoring also yields information that helps to explain why the outcomes of public polices and programs differ.

Monitoring & Evaluation Questions

- 1. What is being done?
- 2. By whom?
- 3. Target population?
- 4. When?
- 5. How much?
- 6. How often?
- 7. Additional outputs?
- 8. Resources used? (Staff, funds, materials, etc.)



Monitoring & Evaluation Cycle

Policy Formulation Monitoring of goals

Evaluation of Public Policy

For an effective monitoring and evaluation, it must be conceived at the design or formulation phase of the policy.

A good monitoring is oriented to facilitate the achievement of the goals set in the adopted policy.

A good evaluation is used to design and reformulate public policies.

During Design phase:

- 1. Establish a good base line
- 2. Set up indicators
- 3. Promote the use of former evaluations

During Monitoring:

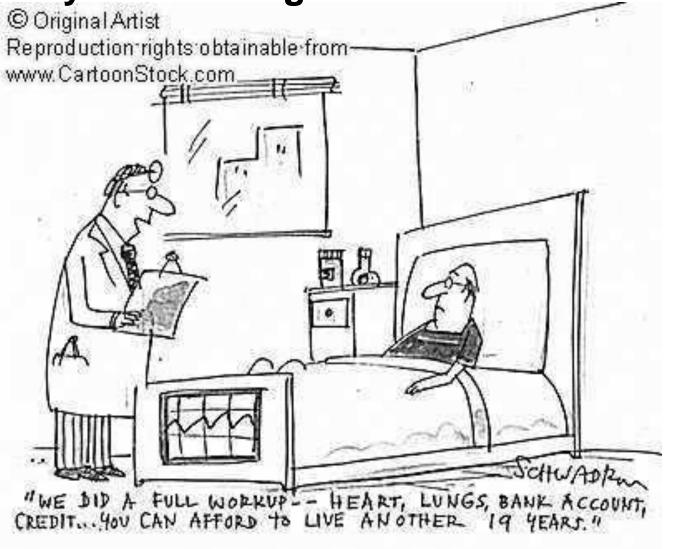
1. To follow up the designed indicators

During Evaluation:

1. Produce effective and meaningful recommendation for stakeholders

How to ask the right questions and give a response

that the mayor is looking for?



'We did a full work-up - heart, lungs, bank account, credit...you can afford to live another 19 years.'

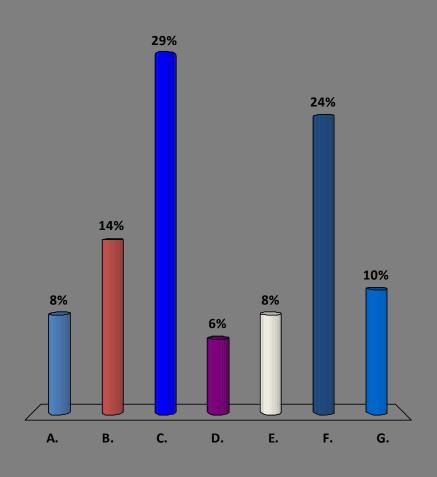
I am the mayor

Choose the city that you know well, or where you live, or where you have worked or work regularly and feel familiar with its problems and development challenges.

Place yourself in the decision making position of the mayor or the city manager. Imagine yourself in the position of the one who gives direction to policies and ultimately has the last word.

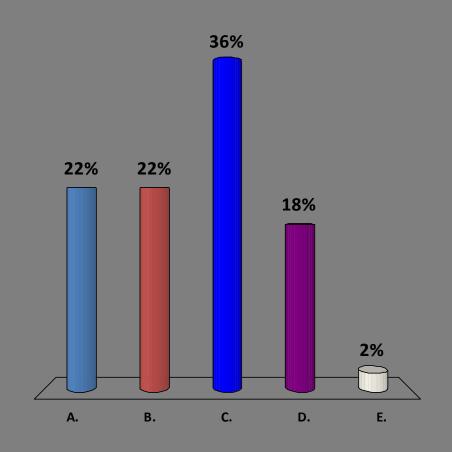
What is the most critical constraint in monitoring the SDG11 in your city:

- A. Setting up the baseline information
- B. Data collection
- C. Technology, techniques and methodology for data collection and retrieval
- D. Data Analysis and Reporting
- E. Regularity of data collection and analysis
- F. Institutional capacity and human resources
- G. The organizational and institutional setup



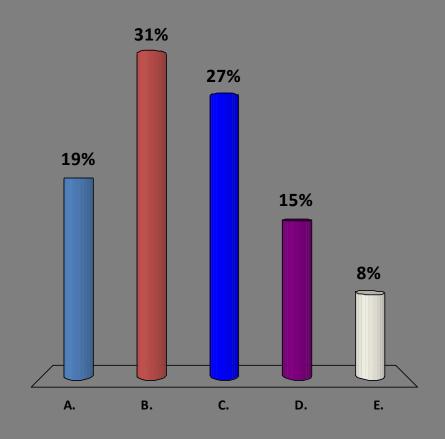
The lack of financial resources is the most critical constraint hindering the monitoring of the SDG11 in my city.

- A. Fully agree.
- B. Agree
- C. Somewhat agree.
- D. Disagree
- E. Fully disagree.



The institutional capacity is the most critical constraint preventing me to adopt a regular monitoring of the SDG11 in my city.

- A. Fully agree.
- B. Agree
- C. Somewhat agree.
- D. Disagree
- E. Fully disagree.



My number 1 problem in my city is:

28%

26%

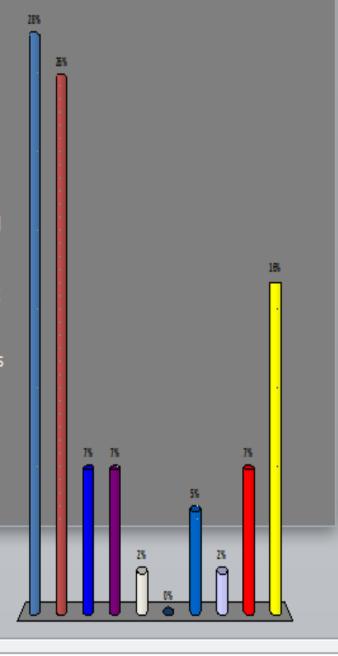
- A. Scarcity of affordable housing and slum formation leading to uncontrolled growth
- B. Poor urban transportation and mobility leading to congestion and pollution
- C. Scarcity of land for development leading to speculation and high prices
- D. Weak civil society and lack of popular participation in civic affairs
- E. Deterioration of historical buildings and urban heritage resulting in loss of identity and culture
- F. Vulnerability to natural disaster leading to situations of risk for human lives
- G. Poor air quality and GHG emissions leading to poor environment conditions
- H. Accumulation of garbage leading to bad health conditions and pollution
- I. Lack of green areas and public spaces for leisure
- J. Weak regional planning leading to uncoordinated public actions

16%

7%

5%

- A. Scarcity of affordable housing and slum formation leading to uncontrolled growth
- B. Poor urban transportation and mobility leading to congestion and pollution
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- G. Poor air quality and GHG emissions leading to poor environment conditions
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- Lack of green areas and public spaces for leisure
- J. Weak regional planning leading to uncoordinated public actions



My number 2 problem in my city is:

- A. Scarcity of affordable housing and slum formation leading to uncontrolled growth
- B. Poor urban transportation and mobility leading to congestion and pollution
- C. Scarcity of land for development leading to speculation and high prices
- D. Weak civil society and lack of popular participation in civic affairs
- E. Deterioration of historical buildings and urban heritage resulting in loss of identity and culture
- F. Vulnerability to natural disaster leading to situations of risk for human lives
- G. Poor air quality and GHG emissions leading to poor environment conditions
- H. Accumulation of garbage leading to bad health conditions and pollution
- I. Lack of green areas and public spaces for leisure
- J. Weak regional planning leading to uncoordinated public actions



My number 3 problem in my city is:

- A. Scarcity of affordable housing and slum formation leading to uncontrolled growth
- B. Poor urban transportation and mobility leading to congestion and pollution
- C. Scarcity of land for development leading to speculation and high prices
- D. Weak civil society and lack of popular participation in civic affairs
- E. Deterioration of historical buildings and urban heritage resulting in loss of identity and culture
- F. Vulnerability to natural disaster leading to situations of risk for human lives
- G. Poor air quality and GHG emissions leading to poor environment conditions
- H. Accumulation of garbage leading to bad health conditions and pollution
- I. Lack of green areas and public spaces for leisure
- J. Weak regional planning leading to uncoordinated public actions

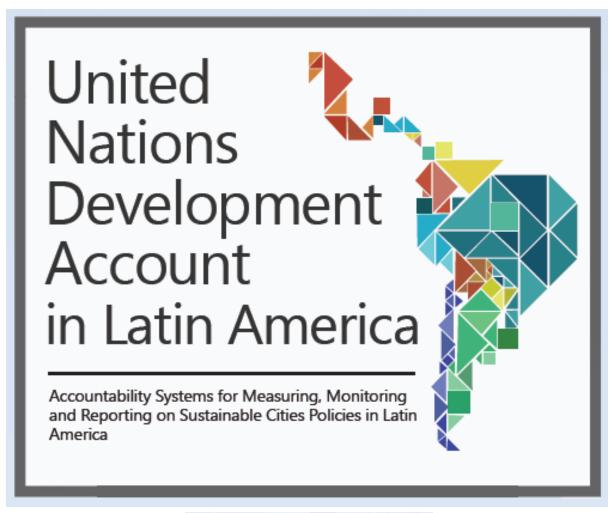


Reflection

- With such critical problems in my city, how can I prioritize my policy and allocate my scarce financial resources and at the same time adopt the full SDG 11 in my city?
- How can assure that the SDG is fully localized in my city?
- What will be the best way to monitor and establish levels of accountability and transparency in the SDG implementation?
- Do I have the capacity to undertake the tasks involved in the localization of the SDG 11?

The Project:

Accountability Systems for Measuring, Monitoring and Reporting on Sustainable Cities Policies in Latin America







DURATION | 2016-2019



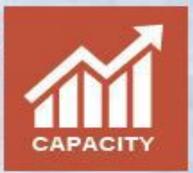
OUTPUTS

- Accountability initiatives & tools
- Training manual on SDGs, urban development, accountability
- Indicators on sustainability
- New research and best practices



ACTIVITIES





Training and capacity development for local government





Strengthening national training institutions





Developing accountability initiatives





Innovative city labs for city stakeholders





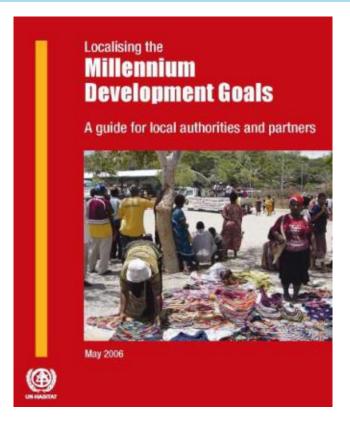
Online Urban Accountability Academy

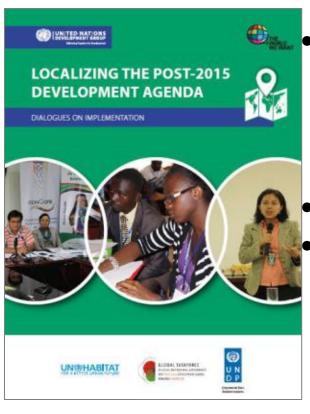




Supporting data analysis indicators and visualization

Lessons learned with the MDG's and the Dialogue about the Means of Implementation



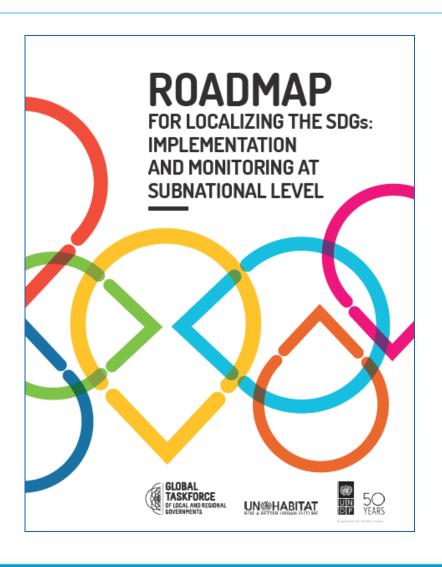


Dialogue at the local and national levels about the localization in 13 countries

Regional and Global dialogues



THE ROADMAP



- Sensibilización
- Abogacía
- Implementación
- Supervisión

www.LocalizingTheSDGs.com









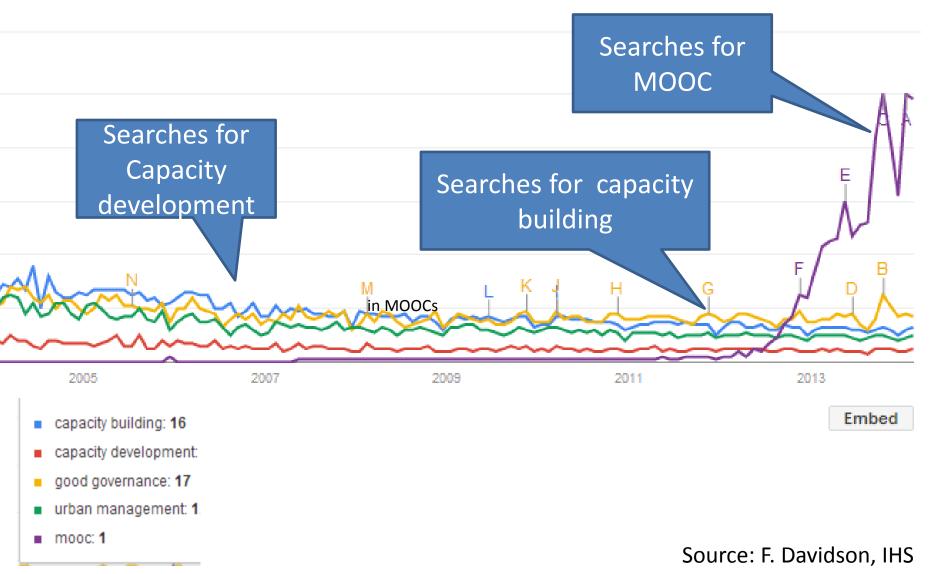


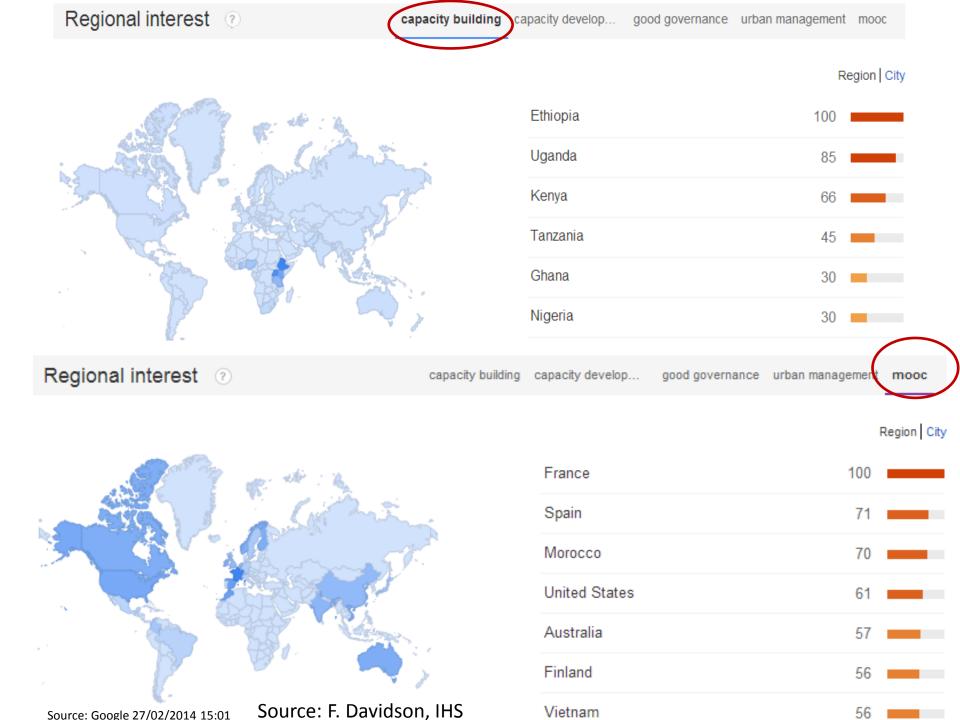
Capacity Building:

Capacity building is more than training.

15/02/2017

Changing Interest in topics indicated by English language google searches





SEASON 2



UNHABITATI

BEFKELEY

HALFANI

UN HABITAT





VEN YORK UNDERSTY

RON

DEMBO

HAMDI

OCCUBBEFOOKES UNDERSTY

ZHOROCIPRINT









CITIZEN ROLES IN RESILIENT CITIES



INCREMENTAL HOUSING -THE NEW SITE & SERVICES REINHARD GOETHERT

HUNESACHUSETTS PASTITUTE

OF TECHNOLOGY (MT)

UNEXCOCHARGOR

ELETHER ARCHITECTURE



ADDRESSING GLOBAL LAND CHALLENGES CLARISSA AUGUSTINUS GUBAL LAND TOOK MITWORK UN-HABITAT

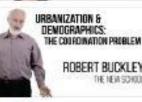
PRINCIPLES OF

PLANNED URBANIZATION

DR. JOAN CLOS

EXECUTIVE OFFICIAL

IIN-HABILIT



TOO PRESSED TO WAIT JANE WERU JIKEA MASHIMANI TRUST URBANIZATION & DEMOGRAPHICS:

ROBERT BUCKLEY

THE NEW SCHOOL

COMMUNITY EMPOWERMENT:



URBAN ECONOMY IN













SEASON 3

URBANDRAMAGE SPECIALIST











HUGO









URBAN INFORMALITY -

MARGINAL OR MAINSTREAM?



HOW CAN WE TRANSCEND SLUM URBANISM IN AFRICA?









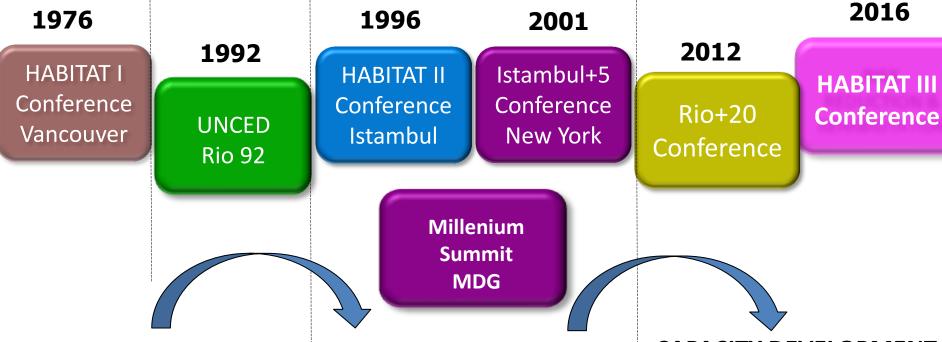
LIBI-HARBITAT



Global Urban Lectures (status 30/9/2016)

- 3 seasons, 35 online lectures, and so far <u>81,962 viewers</u> (30/9)
- Out of UN-Habitat's top 10 most viewed videos, the Global Urban Lectures occupies 7 top places;
- **Gender distribution**: 55% men and 45% women;
- Most viewers: 18-44 age group;
- **Top segment:** between 25-34 years of age.
- Global coverage of viewers: the series is currently viewed in 57 countries.
- Top 20 countries of viewers: USA, Kenya, UK, India, Germany, Canada, Brazil, Netherlands, Colombia, France, Italy, Mexico, Spain, South Africa, Indonesia, Ecuador, Australia, Vietnam, South Korea, and Sweden.

Capacity Building and The Global Urban Agenda 53



<u>TRAINING</u>

Focus:

- 1. Invididual training
- 2. Learn new skills
- 3. Transfer know-how
- Specialized International Courses in prestigious instituions
- 5. Technocratic

CAPACITY BUILDING

Focus:

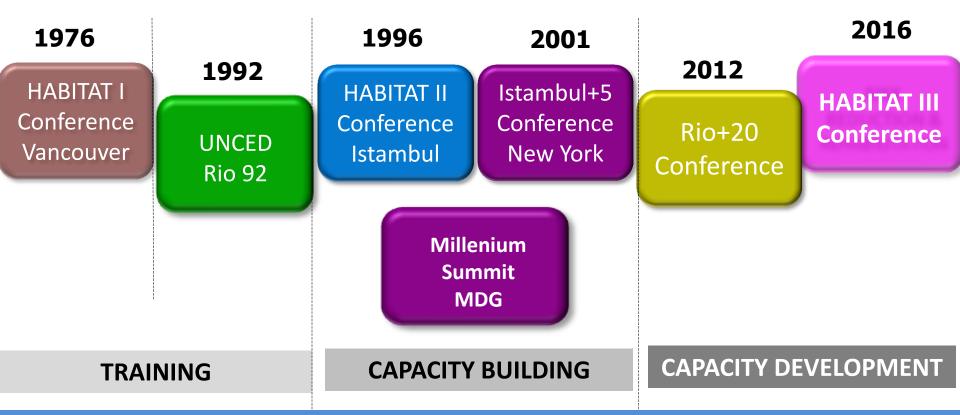
- Invididual & Institutional Performance
- 2. Attitude Change
- 3. Problem-Solving & action planning oriented
- 4. National programmes
- 5. Multidisciplinary

CAPACITY DEVELOPMENT

Focus:

- 1. Capacity building
- 2. Peer to peer learning
- 3. Tailor-made problemsolving programmes
- 4. International, national and local programmes
- 5. Multi-stakeholders

Capacity Building and The Global Urban Agenda 54



CONCLUSION:

Without a comprehensive and sustained capacity development strategy, built-in and implemented hand-in-hand with the new Urban Agenda, there will be no paradigm shif and cities will fail to become drivers of economic development.

UN-HABITAT CAPACITY BUILDING APPROACH

- 1. Capacity development is not the training of individuals for knowledge acquisition
- 2. It is ensuring they know *how to apply* that knowledge and that the capacities of the organizations are also developed
- 3. NO PIECEMEAL TRAINING!
- 4. BROAD AND SUSTAINED CAPACITY BUILDING



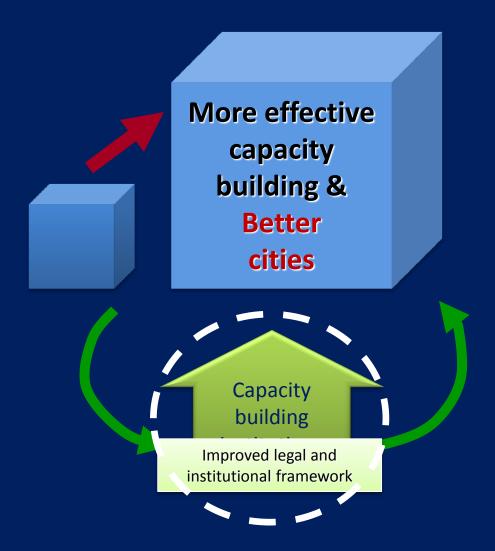
PRINCIPLES

- Capacity building is more than training and needs complementary actions in organizational development and the institutional framework
- Capacity building (or development) must be integrated into development strategies
- Must be embedded in the relevant social, economic, environmental, political context
- Modalities of capacity development should be wide and involve a range of (motivated) institutions
- Focus on potential large scale of impact

Lessons already learned

- Working with wider networks and partnerships allows stimulating dynamics and has more potential sources of driving energy
- But... some partners fail depends a lot on local situations and personalities, leadership
- Pegging in or making use of ongoing development programs sustains continuity and scale
- Making use internet, online and ICT technologies widen access and transform knowledge products and learning processes

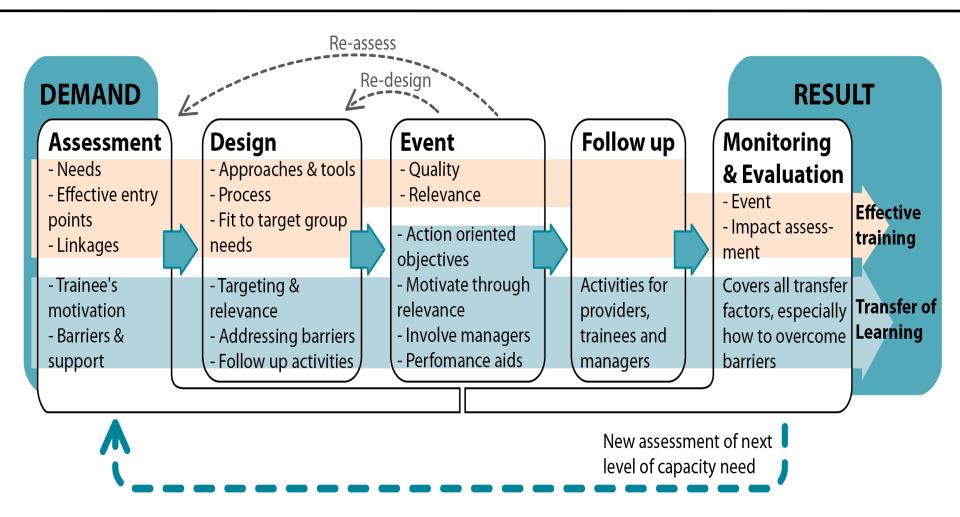
Focus on impact and building a learning system



Focus on impact and building a learning system

- Need realistic strategy for going to scale
- Emphasis on monitoring impact by using appropriate performance indicators
- Establishing a knowledge-learning management system institutional memory, materials, resource center
- Increase learning through practise and research linked to communication to new target groups including nonprofessionals
- Use of internet based materials and localisation

BEST PRACTICE TRAINING CYCLE AS PART OF CAPACITY BUILDING OF INSTITUTIONS AND INDIVIDUALS



Capacity Development Needs for Monitoring the SDG's

One Fundamental Question on Capacity Development Needs:

What are the capacity needs for the implementation, monitoring and reporting for the SDGs?

- 1.Institutional-related performance gaps
- 2.Individual-related performance gaps
- 3. Knowledge gaps

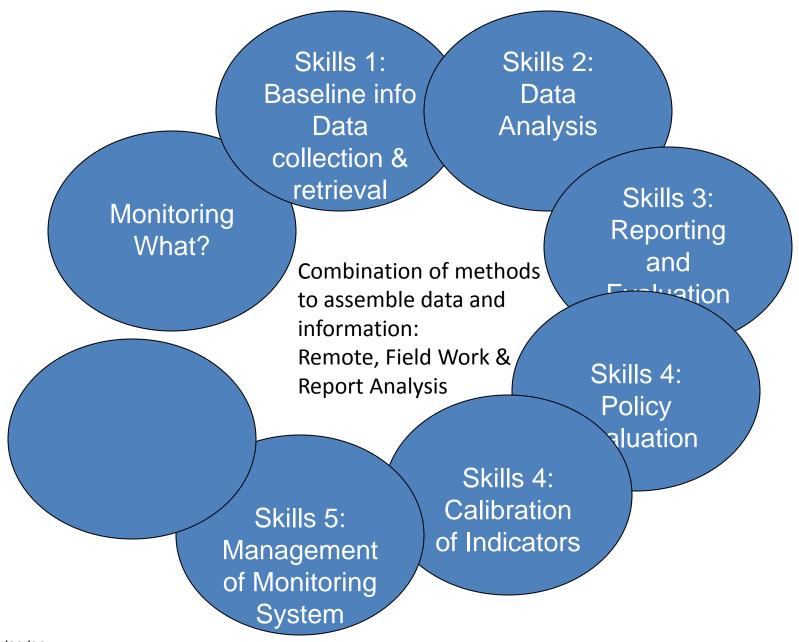


MONITORING SDG'S TRAINING NEEDS ASSESSMENT - TNA

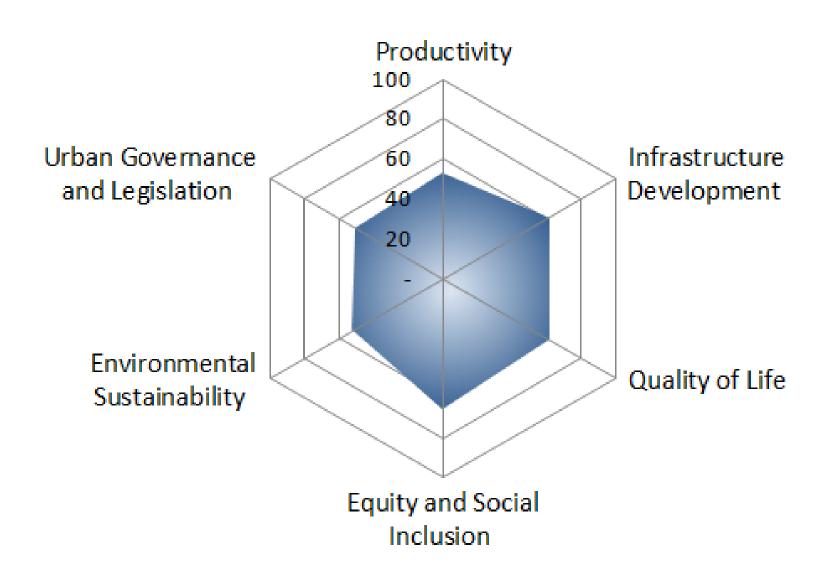
ONE FOCUS ON THE INDIVIDUALS

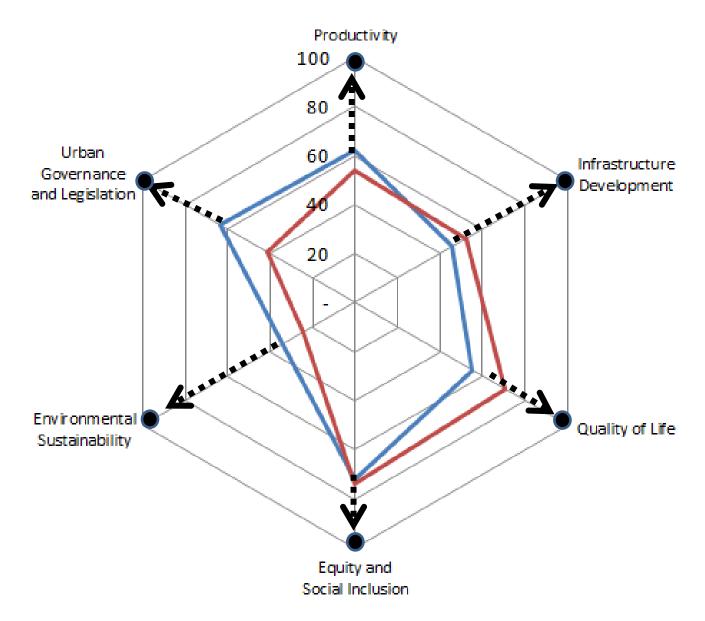
- Who is the target group?
- What are their competences?
- What are their knowledge gaps?
- What are their skills and gaps?
- What are their current responsibilities





CITY PROSPERITY INDEX





15/02/2017 —— Lima - Peru —— Quito - Ecuador

Productivity Index (P)	1. Economic Growth Sub Index (EG)
	2. Economic Agglomeration (EA)
	3. Employment Sub Index (E)
Infrastructure Development Index (ID)	1. Housing Infrastructure Sub Index (
	2. Social Infrastructure (SI)
	3. ICT Sub Index (ICT)
	4. Urban Mobility Sub Index (UM)
	5. Street Connectivity (SC)
Quality of Life Index (QOL)	1. Health Sub Index (H)
	2. Education Sub Index (E)
	3. Safety and Security Sub Index (SS)
	4. Public Space (PS)
Equity and Social Inclusion Index (ESI)	1. Economic Equity Sub Index (EE)
	2. Social Inclusion Sub Index (SI)
	3. Gender Inclusion Sub Index (GI)
	4. Urban Diversity (UD)
Environmental Sustainability Index (ES)	1. Air Quality Sub Index (AQ)
	2. Waste Management Sub Index (W
	3. Water and Energy Sub Index (WE)
Urban Governance and Legislation (UGL)	1. Participation Sub Index (P)
	2. Accountability and Transparency (
	3. Institutional Capacity (IC)

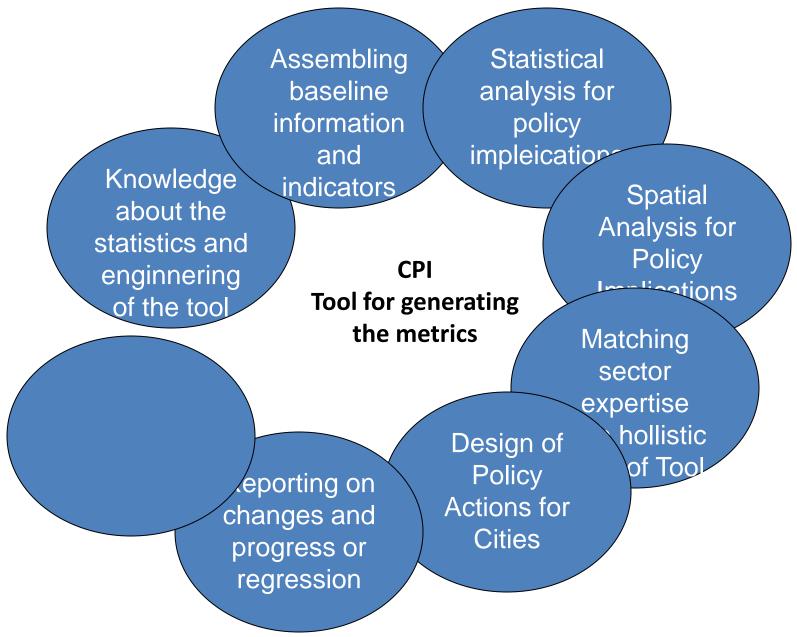
Employment Sub Index (E) Housing Infrastructure Sub Index (HI) Social Infrastructure (SI) CT Sub Index (ICT) Jrban Mobility Sub Index (UM) Street Connectivity (SC) Health Sub Index (H) Education Sub Index (E) 23 Safety and Security Sub Index (SS) Sub Public Space (PS) **Indexes** Economic Equity Sub Index (EE) Social Inclusion Sub Index (SI) Gender Inclusion Sub Index (GI) Jrban Diversity (UD) Air Quality Sub Index (AQ) Waste Management Sub Index (WM) Water and Energy Sub Index (WE) Participation Sub Index (P) Accountability and Transparency (AT) nstitutional Capacity (IC)

4. Governance of Urbanization (GU)



Connecting SDGs and the New Urban Agenda





BRIEF CONCLUSIONS

- Need to Focus on developing and strengthening the capacity of a core team at the city level
- Peg on-the-job training and capacity building into developing the monitoring mechanisms
- Prioritization for quick-wins (policy, theme, issue) in getting a system started
- Establish the dialogue with civil society from the start
- Move on dynamically on learning by doin

THANK YOU

